THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Wagner et al.

Serial No.: 08/948,393

Filed: October 19, 1997

METHODS FOR TREATING AND For: PREVENTING ATHEROSCLEROSIS

Attorney Docket No.: 10861/011002

(Former 3980/72757)

Group Art Unit: 1623

Examiner: K. K. Fonda, Ph.D.

Box CPA Assistant Commissioner for Patents Washington, DC 20231

Certificate of Express Mail

"Express Mail" Mailing Label Number EL 228029035US

I hereby certify that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" in an envelope addressed to: Box CPA, Assistant Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

november 8,1999 Date of Signature and of Mail Deposit

By:

PRELIMINARY AMENDMENT

Dear Sir:

This is in support of a continued prosecution application based on USSN 08/948,393, which is being filed on even date herewith pursuant to 37 C.F.R. 1.53(d). Responsive to the Final Office Action (Paper No. 23) mailed from the U.S. Patent and Trademark Office on December 7, 1998 in USSN 08/948,393, Applicants filed a Notice of Appeal on June 7, 1999. A separate petition for an extension of time is being filed concurrently herewith.

Prior to examination, please amend the above-identified application as follows: